

Enhanced rate capabilities of  $\text{Co}_3\text{O}_4$ /carbon nanotube anodes for lithium ion battery applicationsCite this: *J. Mater. Chem. A*, 2013, **1**, 11121

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$\text{Co}_3\text{O}_4$  nanoparticles with a mass loading over 70 wt% are homogeneously grown on super-aligned carbon nanotube films using a pyrolysis method with  $\text{Co}(\text{NO}_3)_2$  as a precursor. Benefiting from the flexible and highly conductive carbon nanotube scaffold, the  $\text{Co}_3\text{O}_4$ /carbon nanotube composite electrode exhibits great cycling stability ( $910 \text{ mA h g}^{-1}$  after 50 cycles at 0.1 C) as well as excellent rate performance ( $820 \text{ mA h g}^{-1}$  at 1 C), and can be considered as a promising anode material for high performance lithium ion batteries.

Lithium-ion batteries (LIBs) have been widely expected to be an energy storage solution for the next-generation electric vehicles. To this end, one of the restrictions to the practical application of LIBs as power cells for the electrical vehicles (EVs) is their low energy densities and power densities.<sup>1–4</sup> Accordingly, great efforts have been dedicated to seek potential electrode materials in place of commercial  $\text{LiCoO}_2$  and graphite with higher lithium storage capacity and, particularly, greater power density. During the last decade, transition metal oxides were identified as a new class of anode materials.<sup>5–17</sup> In comparison with the insertion mechanism in graphite, transition metal oxides were reduced to elementary metals that were embedded into the  $\text{Li}_2\text{O}$  matrix in the lithiation process *via* a conversion reaction mechanism.<sup>9,18</sup> As a result, the theoretical capacity of a transition metal oxide is directly related to the number of oxygen atoms per chemical formula. For instance,  $\text{Co}_3\text{O}_4$  exhibits a theoretical capacity of  $890 \text{ mA h g}^{-1}$ , according to the conversion reaction, which is remarkably higher than that of graphite ( $372 \text{ mA h g}^{-1}$  with an end compound of  $\text{LiC}_6$ ).<sup>19</sup> Even though  $\text{Co}_3\text{O}_4$  possesses such a high lithium storage capacity, it suffered from a number of problems such as short cycle life, fast capacity fading, and poor capacities delivered at high rates, which often occurred in transition-metal oxides.<sup>6,7,11,14</sup> These problems can be attributed to their low electric and ionic conductivity. Decreasing the particle size turned out to be effective in shortening the lithium

ion diffusion length in the active material. Indeed, the first lithiation/delithiation cycle always coincided with a significant morphology change in  $\text{Co}_3\text{O}_4$ .<sup>10,20</sup> Thus, lithium storage *via* a conversion reaction was unambiguously associated with a high kinetic barrier and only available in nano-scaled materials.

Although the size of the active material was an important variable to alter the mass transfer, it made less contribution with respect to the electrical conductivity. The strategy has been shifted to the introduction of conductive additives compatible with the active electrode material in dimensions to enhance the electron transfer efficiency and to improve the performance at high rates. For instance, hybrid gold-cobalt oxide nanowires were fabricated to facilitate electron transport.<sup>7</sup> The same idea has been manifested by coating  $\text{Fe}_3\text{O}_4$  nanoparticles on Cu nano-pillars electrochemically.<sup>14</sup> The problem is the requirement of the precise control of experimental conditions and the heavy mass introduced by the Cu nano-pillars. Accordingly, lightweight and conductive carbon additives were extensively explored.<sup>6–9,11,12,21–24</sup> For example, graphene or graphene nanoribbons were used to wrap  $\text{Co}_3\text{O}_4$ ,  $\text{MnO}_2$ , and  $\text{SnO}_2$  nanoparticles, forming a good conductive coating.<sup>6,12,25</sup> These composite electrodes showed both promising reversible capacities and capacity retention. However, in such composites, conductive carbon blacks such as acetylene black and complex experimental procedures were still required. Another barrier to their practical application is that the production is only available at the lab-scale.

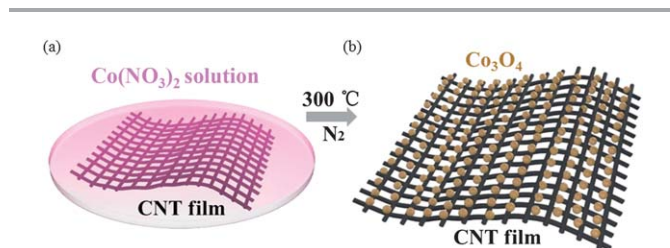
Following the similar idea of making a compatible conductive additive and current collector for each active particle, we identify that carbon nanotubes (CNTs) would be a promising material.<sup>26,27</sup> General fabrication methods of CNT-based composite electrodes involve the direct deposition of active material onto CNTs. In contrast to carbon coating or wrapping, the most significant advantage of using CNTs as conductive additives and current collectors is the exposure of the majority of the active particles to the electrolyte, suggesting little sacrifice in lithium ion transfer. Among all types of CNTs, the super-aligned CNT (SACNT) is remarkable due to its large aspect ratio,

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high degree of graphitization, and little amorphous carbon deposition on the surface. In the past, it has been demonstrated that SACNTs could be used as current collectors for LIBs<sup>28</sup> or as conductive additives to significantly improve both reversible capacity and capacity retention of SnO<sub>2</sub>.<sup>29</sup> The fabrication of SnO<sub>2</sub>/SACNT composites involved a multiple-step procedure with necessary linking agents and presented a low loading of SnO<sub>2</sub> (63 wt%). Such drawbacks made the SnO<sub>2</sub>/SACNT material system far from large-scale applications.

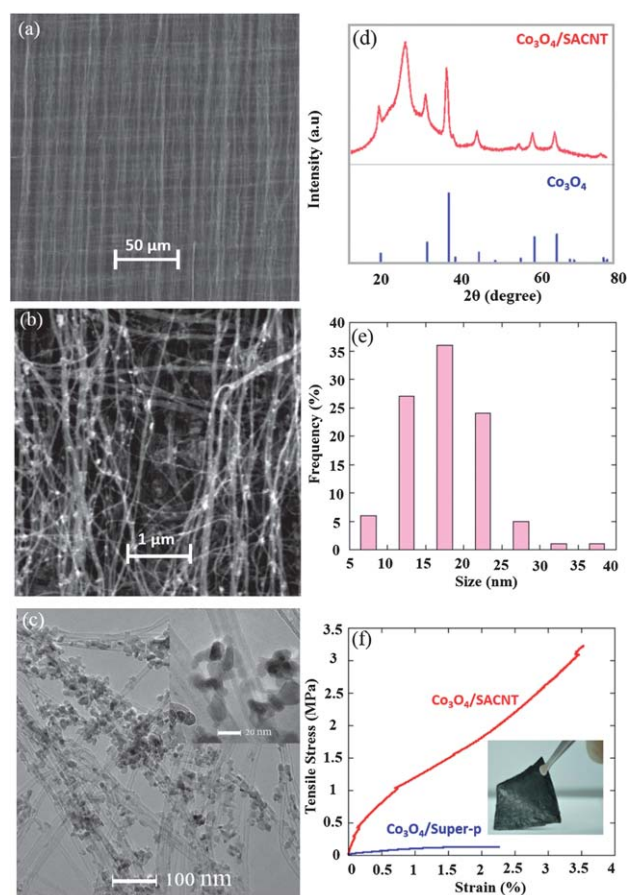
Herein, we report a facile and scalable fabrication method for high rate Co<sub>3</sub>O<sub>4</sub>/SACNT composite anodes for LIBs. In contrast to SnO<sub>2</sub>, Co<sub>3</sub>O<sub>4</sub> will not involve the lithium alloying procedure. Therefore, this material system will not present huge volumetric change, which is more ideal for practical applications. Co<sub>3</sub>O<sub>4</sub> nanoparticles are synthesized by the pyrolysis of Co(NO<sub>3</sub>)<sub>2</sub> (Fig. 1). SACNTs are first assembled into continuous CNT films from SACNT arrays. This step affords a flexible and highly conductive SACNT scaffold to host Co<sub>3</sub>O<sub>4</sub> particles. In this method, the electrodes contain over 70 wt% Co<sub>3</sub>O<sub>4</sub> as active material and SACNTs as the structural framework, conductive additive, and current collector. The Co<sub>3</sub>O<sub>4</sub>/SACNT composite anodes exhibit high electrical conductivity, great mechanical properties, high energy density, and specifically outstanding rate capability (820 mA h g<sup>-1</sup> at 1 C) based on the mass of the Co<sub>3</sub>O<sub>4</sub>/SACNT. Since the SACNT films have been mass produced with a high number of applications,<sup>30</sup> we may expect that this easy process would have great promise for high power LIB applications beyond lab scales.

Fig. 1 illustrates the synthesis procedure for making Co<sub>3</sub>O<sub>4</sub>/SACNT composite anodes. SACNT arrays consisting of CNTs with a diameter of 20–30 nm and a height of 300 μm were synthesized on 4 inch silicon wafers by chemical vapor deposition. Details of the synthesis procedure can be found in previous papers.<sup>27,31–33</sup> A continuous SACNT film was drawn from SACNT arrays and 100 layers of SACNT films were cross-stacked onto a polytetrafluoroethylene (PTFE) frame using a lab-designed apparatus. Co(NO<sub>3</sub>)<sub>2</sub> solution was prepared by mixing 1 M Co(NO<sub>3</sub>)<sub>2</sub> aqueous solution and isopropanol at a volume ratio of 4 : 1. After soaking the SACNT film together with the PTFE frame in the Co(NO<sub>3</sub>)<sub>2</sub> solution for 2 days, the obtained Co(NO<sub>3</sub>)<sub>2</sub>/SACNT composite precursor was calcined at 300 °C in a nitrogen flow for 1 h. Nano-sized cobalt oxide particles were easily accessed *via* the pyrolysis of Co(NO<sub>3</sub>)<sub>2</sub>, leading to the formation of the cobalt oxide/SACNT composite.



**Fig. 1** The schematic illustration of the synthesis of a Co<sub>3</sub>O<sub>4</sub>/SACNT composite electrode. (a) A cross-stacked SACNT film soaked in Co(NO<sub>3</sub>)<sub>2</sub> solution; (b) nano-sized Co<sub>3</sub>O<sub>4</sub> particles *in situ* grown on the SACNT film *via* the pyrolysis of Co(NO<sub>3</sub>)<sub>2</sub>.

Fig. 2a shows an SEM image of the top view of an as-prepared SACNT film containing 100 CNT layers. After the *in situ* growth of cobalt oxide nanoparticles on SACNTs, the porous and ordered structure of the SACNT film was largely retained (Fig. 2b). SEM and TEM studies revealed no obvious aggregated cobalt oxide nanoparticles, as shown in Fig. 2b and c. The product was confirmed to be Co<sub>3</sub>O<sub>4</sub> by XRD. The XRD pattern in Fig. 2d clearly showed that the diffraction peaks of the specimen agree well with those of crystalline Co<sub>3</sub>O<sub>4</sub> [space group: *Fd3m* (227)].<sup>6</sup> The additional diffraction peak near 26° can be indexed to the (002) reflection of CNTs. The pyrolysis of Co(NO<sub>3</sub>)<sub>2</sub> successfully afforded Co<sub>3</sub>O<sub>4</sub> nanoparticles that were anchored homogeneously on the SACNT film without any prior functionalization steps. It is worth noting that this fabrication procedure of composite electrodes is binder-free, suggesting great potential in enhancing the energy density. According to the mass of the SACNT films and the Co<sub>3</sub>O<sub>4</sub>/SACNT composite, the weight percentages of Co<sub>3</sub>O<sub>4</sub> can be calculated. The final loadings of the oxides on the SACNT films can be adjusted by the concentration of the



**Fig. 2** SEM images of (a) a pristine SACNT film and (b) a Co<sub>3</sub>O<sub>4</sub>/SACNT composite electrode; (c) TEM images of a Co<sub>3</sub>O<sub>4</sub>/SACNT composite electrode in low and high magnifications; (d) XRD pattern of a Co<sub>3</sub>O<sub>4</sub>/SACNT composite. Blue lines are calculated peak positions according to the crystal structure information of Co<sub>3</sub>O<sub>4</sub>; (e) size distribution of Co<sub>3</sub>O<sub>4</sub> nanoparticles according to the TEM images; (f) stress-strain curves of the Co<sub>3</sub>O<sub>4</sub>/SACNT and Co<sub>3</sub>O<sub>4</sub>/Super-p composites and photograph of a flexible Co<sub>3</sub>O<sub>4</sub>/SACNT composite (inset).

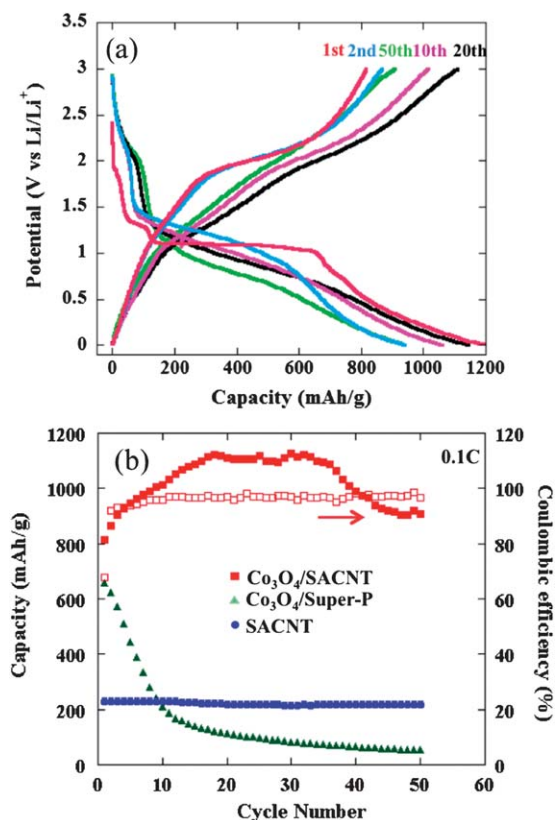
$\text{Co}(\text{NO}_3)_2$  solutions. Two typical samples possessing 77 wt% and 72 wt% of  $\text{Co}_3\text{O}_4$  are presented in this paper. The mass loadings of  $\text{Co}_3\text{O}_4$  are determined by measuring the weight of the original CNT film and the obtained  $\text{Co}_3\text{O}_4/\text{CNT}$  composite, which agree well with those measured by thermogravimetric analysis (TGA).

The SACNT film also regulated the growth of  $\text{Co}_3\text{O}_4$  nanoparticles. The particle size of  $\text{Co}_3\text{O}_4$  was analyzed with the TEM study, which suggested a size distribution of 10–25 nm (Fig. 2e). As illustrated in the TEM images (Fig. 2c), almost all the  $\text{Co}_3\text{O}_4$  nanoparticles were anchored on the sidewall of CNTs. The intimate contact between  $\text{Co}_3\text{O}_4$  and CNTs avoided aggregation of  $\text{Co}_3\text{O}_4$  nanoparticles. We attribute this homogeneous *in situ* synthesis of  $\text{Co}_3\text{O}_4$  nanoparticles on CNTs to the template effect of the SACNT film. The small pores inside the SACNT film may regulate the growth and confine the size of the  $\text{Co}_3\text{O}_4$  nanoparticles. The intact network structure composed of aligned CNTs ensures uniform anchoring of  $\text{Co}_3\text{O}_4$  nanoparticles on the conductive CNT pathways throughout the electrode. Additionally, we did not observe any structural destruction on individual CNTs in high-resolution TEM images. Therefore, we may conclude that the electrical property of CNTs is well preserved, which will greatly benefit the electrical conductivity of the composite electrode.

Indeed, the conductivity of the electrode plays a critical role in power cells. The high internal resistance will result in polarization and fast capacity fading, particularly in high power applications. The excess of Joule heat associated with high internal resistance will also cause safety concerns. However, the continuous SACNT film improves the conductivity of the electrode significantly. As a result, the  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite (containing 77 wt%  $\text{Co}_3\text{O}_4$ ) exhibited a resistivity of as low as  $1.6 \times 10^{-4} \Omega \text{ m}$ . In order to demonstrate the superiority of the  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite, the  $\text{Co}_3\text{O}_4/\text{Super-P}$  composite (containing 80 wt% commercial  $\text{Co}_3\text{O}_4$  with a diameter of 10–30 nm) as a control sample was prepared with a traditional slurry cast method. Such samples showed a resistivity of  $4.6 \times 10^{-2} \Omega \text{ m}$ , which is two orders of magnitude higher than that of the  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite. This difference proved the above advantage of the utilization of the ordered network structure of SACNTs in the composite electrode.

Another important factor that should be taken into account is the mechanical stability of the electrode. Generally, low strength of the electrodes will result in cracks or voids in the electrodes, because of the variation in volume during the charge–discharge processes. The overall mechanical strength of the composite electrode can be greatly benefited from SACNTs. According to the stress–strain curves, the  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite showed a higher strength and was more flexible than the  $\text{Co}_3\text{O}_4/\text{Super-P}$  composite (Fig. 2f). The Young's modulus, tensile strength, and strain at fracture of the  $\text{Co}_3\text{O}_4/\text{SACNT}$  specimen were 160 MPa, 3.5 MPa, and 3.2%, respectively. For comparison, these values are 6.4 times, 27.2 times, and 1.6 times those of the  $\text{Co}_3\text{O}_4/\text{super-P}$  specimen, respectively. Both the higher flexibility and strength of the  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite are indicative of better endurance of the volume change during charge–discharge cycles.

Coin-type half-cells were assembled with the  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite,  $\text{Co}_3\text{O}_4/\text{Super-P}$  composite, and CNT films as the positive electrode and Li metal as the negative electrode. The electrochemical performance of the 77 wt%  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite electrodes was investigated at 0.1 C rate in the potential range from 0.01 V to 3 V at room temperature (Fig. 3a and b). The capacity values are calculated based on the mass of the  $\text{Co}_3\text{O}_4/\text{SACNT}$  electrode. The voltage–capacity curve of the  $\text{Co}_3\text{O}_4/\text{SACNT}$  electrode featured a long voltage plateau at about 1.1 V and sloped down to the cut-off voltage of 0.01 V during the first discharge step, which agrees well with a previous study.<sup>10</sup> According to the investigations by Tarascon, this voltage plateau was related to an intermediate phase of lithium intercalation ( $\text{Li}_x\text{Co}_3\text{O}_4$ ) and then a full reduction to form Co and  $\text{Li}_2\text{O}$ .<sup>34</sup> The discharge and charge capacities for the first cycle were 1200 and 815  $\text{mA h g}^{-1}$  for the  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite. The high discharge capacity for the first cycle compared to its theoretical capacity of 890  $\text{mA h g}^{-1}$  may be attributed to the formation of a partially irreversible solid electrolyte interface (SEI) film.<sup>10</sup> The slight increase in the capacity of the  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite during the first 20 cycles may result from the large effective surface, which would offer extra adsorption sites for Li ions. At the 20<sup>th</sup> cycle, the specific capacity rose to 1100  $\text{mA h g}^{-1}$ , corresponding to *ca.* 135% of its initial value as shown in Fig. 3b.



**Fig. 3** (a) Galvanostatic charge–discharge curves of a 77 wt%  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite at the 1<sup>st</sup>, 2<sup>nd</sup>, 10<sup>th</sup>, 20<sup>th</sup>, and 50<sup>th</sup> cycles between 3 and 0.01 V (vs.  $\text{Li}/\text{Li}^+$ ) at 0.1 C; (b) comparison of the cycling performance of  $\text{Co}_3\text{O}_4/\text{SACNT}$ ,  $\text{Co}_3\text{O}_4/\text{Super-P}$ , and SACNT films at 0.1 C, together with the Coulombic efficiency of the  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite.

This phenomenon was observed for all  $\text{Co}_3\text{O}_4/\text{SACNT}$  samples. During all cycles, the  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite electrode presents much better electrochemical lithium storage performance than those of  $\text{Co}_3\text{O}_4/\text{Super-P}$  and pristine SACNT electrodes. The 77 wt%  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite exhibited a high reversible capacity of  $910 \text{ mA h g}^{-1}$  and a Coulombic efficiency of 97% after 50 discharge-charge cycles, based on the overall mass of the electrode. In contrast, the capacity of the  $\text{Co}_3\text{O}_4/\text{Super-P}$  electrode rapidly faded to  $54 \text{ mA h g}^{-1}$  after the same number of cycles (Fig. 3b). SACNTs displayed a stable but low capacity at  $220 \text{ mA h g}^{-1}$  in the same voltage window. Therefore, it indicates a strong synergistic effect between  $\text{Co}_3\text{O}_4$  nanoparticles and SACNTs and its central role in the excellent cycling performance and high specific capacity of the  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite.

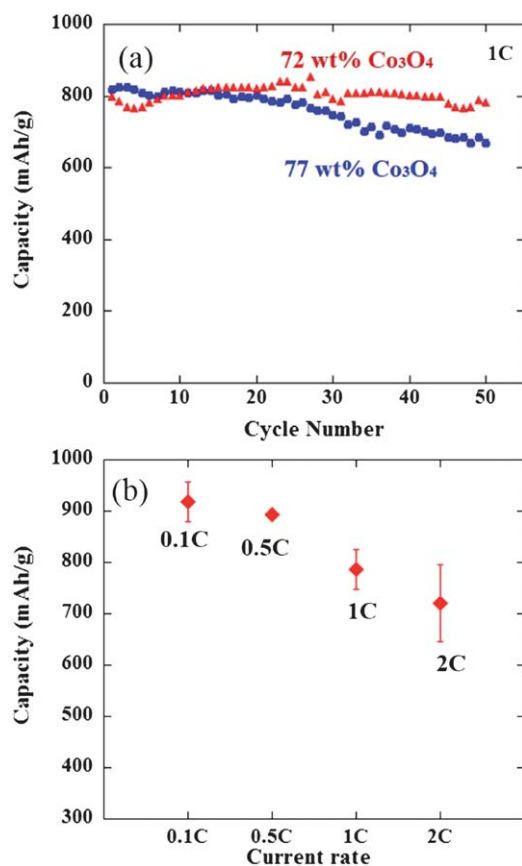
More importantly, the  $\text{Co}_3\text{O}_4/\text{SACNT}$  electrode exhibited outstanding rate capability at a discharge rate of 0.1 C and a charge rate of 1 C (Fig. 4a). The 77 wt%  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite maintained a reversible capacity of ca.  $820 \text{ mA h g}^{-1}$  at 1 C at initial cycles. The capacity values are calculated based on the mass of the  $\text{Co}_3\text{O}_4/\text{SACNT}$ . In comparison with literature data, this value is higher than those of  $\text{Co}_3\text{O}_4/\text{graphene}$  composites ( $\sim 560 \text{ mA h g}^{-1}$  at 0.6 C rate)<sup>6</sup> and  $\text{Co}_3\text{O}_4$  nanowires ( $\sim 600 \text{ mA h g}^{-1}$  at 2.5 C rate).<sup>20</sup> The specific capacity at 1 C slightly decreased

to ca.  $670 \text{ mA h g}^{-1}$  after 50 cycles. By reducing the concentration of  $\text{Co}(\text{NO}_3)_2$  to  $10 \text{ g L}^{-1}$ , a composite electrode with 72 wt% of  $\text{Co}_3\text{O}_4$  was made. The composite with a lower content of  $\text{Co}_3\text{O}_4$  exhibited a similar initial specific capacity but a much greater capacity retention at 1 C. The specific capacity of the 72 wt%  $\text{Co}_3\text{O}_4/\text{SACNT}$  remained as high as  $780 \text{ mA h g}^{-1}$  after 50 cycles without obvious fading, which outperforms the 77 wt%  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite. We therefore conclude that by changing the loading of  $\text{Co}_3\text{O}_4$  on the SACNT film, different kinds of electrodes specifically aimed at high energy density applications, with more active materials, or high power density applications, with less active materials, can be easily fabricated. The rate performance of the 72 wt%  $\text{Co}_3\text{O}_4/\text{SACNT}$  electrode is compiled in Fig. 4b, showing specific capacities of  $890 \text{ mA h g}^{-1}$  and  $720 \text{ mA h g}^{-1}$  at 0.5 C and 2 C, respectively. The reasons for the excellent cycle stability and rate capability can be attributed to effective transport of electrons and ions that benefits from the flexible and porous SACNT framework decorated with nano-sized  $\text{Co}_3\text{O}_4$  particles as active material.

Another significance of such  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite electrodes is their high loading of active materials without additional binders and conductive agents. Compact LIBs with reduced weight can therefore be achieved. SACNTs would also act as current collectors. Accordingly, the energy density of the electrode would be greatly improved. For example, the SACNT film (100 layers) has an areal density of  $0.2 \text{ mg cm}^{-2}$ , which is only 1/80 of a commonly used  $20 \mu\text{m}$  thick copper foil ( $16 \text{ mg cm}^{-2}$ ) in the battery industry. Furthermore, the loading of  $\text{Co}_3\text{O}_4$  can be easily adjusted by varying the concentrations of starting materials to meet with a variety of application requirements. It could be expected that such composites composed of SACNT films and  $\text{Co}_3\text{O}_4$  nanoparticles are a good candidate as an anode material for high-performance LIBs.

## Conclusions

In summary, we have demonstrated an effective strategy to fabricate flexible  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite anodes for LIBs *via* the pyrolysis of  $\text{Co}(\text{NO}_3)_2$ .  $\text{Co}_3\text{O}_4$  nanoparticles with a mass loading over 70 wt% are homogeneously anchored on a flexible and highly conductive SACNT scaffold that also serves as a current collector and structural support. Effective transport of electrons and ions in the  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite electrodes is achieved due to the intimate contacts of  $\text{Co}_3\text{O}_4$  nanoparticles with the highly conductive SACNT network *via in situ* growth, nano-sized  $\text{Co}_3\text{O}_4$  particles with shortened lithium ion diffusion length, and the porous structure of the SACNT scaffold enabling easier electrolyte infiltration and endurance in the volumetric change on cycling. The  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite electrodes exhibit great cycling stability ( $910 \text{ mA h g}^{-1}$  after 50 cycles at 0.1 C) as well as excellent rate performance ( $820 \text{ mA h g}^{-1}$  at 1 C), based on the total mass of the electrode. Therefore, this is a promising type of anode material for high-performance LIBs. Considering the vast library of metal oxides, such a synthetic strategy could be extended to fabricate various high-performance architectures for energy storage, catalysis, sensing, photosynthesis, and other applications.



**Fig. 4** (a) Comparison of the rate performance of  $\text{Co}_3\text{O}_4/\text{SACNT}$  with loading of  $\text{Co}_3\text{O}_4$  at 72 wt% and 77 wt%; (b) rate performance of the 72 wt%  $\text{Co}_3\text{O}_4/\text{SACNT}$  composite at 0.1 C, 0.5 C, 1 C, and 2 C.

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